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10/088007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Frederic Maillard et al.

Appln. No.: 10/088,007

Filed : March 12, 2002

For : FILTRATION METHOD AND FILTER  
CONSISTING OF NITROGEN-  
CONTAINING CYCLES OR  
HETEROCYCLES SUCH AS DNA OR RNA  
NOTABLY FOR FILTERING TOBACCO  
SMOKE AND A CIGARETTE INCLUDING  
SUCH A FILTER

Group Art Unit:

Docket No.: M109.12-0001

**RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS  
UNDER 35 U.S.C. § 371 IN THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)**

**Box Missing Parts**  
Commissioner for Patents  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231, THIS

12 DAY OF June 2002  
*Rene de B.*  
PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Requirements dated June 4, 2002, Applicant encloses the following documents to complete the above-identified patent application filed March 12, 2002:

1. Our check in the amount of \$116.00 (to cover surcharge fee of \$65, extra independent claim fee of \$42, and extra claim fee of \$9).
2. Combined Declaration and Power of Attorney executed by the inventor(s).
3. Notice to File Missing Requirements.
4. Second Preliminary Amendment.
5. Claim of Priority and Transmittal of Certified Copy.
6. Certified Copy.
7. Fee Calculation Sheet (in duplicate).

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
WESTMAN, CHAMPLIN & KELLY, P.A.

By: Robert M. Angus

Robert M. Angus, Reg. No. 24,383  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

RMA:tas

06/28/2002 LLANDGRA 00000085 10088007

01 FC:254	65.00 OP
02 FC:965	42.00 OP
03 FC:967	9.00 OP

# FEE TRANSMITTAL



Complete if Known					
Application No.		10/088,007			
Filing Date		March 12, 2002			
First Named Inventor		Frederic Maillard et al.			
Title		FILTRATION METHOD AND FILTER CONSISTING OF NITROGEN-CONTAINING CYCLES OR HETEROCYCLES SUCH AS DNA OR RNA NOTABLY FOR FILTERING TOBACCO SMOKE AND A CIGARETTE INCLUDING SUCH A FILTER			
Group Art Unit					
Examiner Name					
Total Amount of Payment \$ 116		Atty. Docket Number M109.12-0001			
METHOD OF PAYMENT (Check One)					
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. <u>23-1123</u> . Westman, Champlin & Kelly, P.A.					
2. <input checked="" type="checkbox"/> Check Enclosed					
FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity		Small Entity			
Fee	Fee	Fee	Fee		
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>	<u>Fee Description</u>	
101	740	201	370	<input type="checkbox"/> Utility Filing Fee	
106	330	206	165	<input type="checkbox"/> Design Filing Fee	
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee	
114	160	214	80	<input type="checkbox"/> Prov. Filing Fee	
Subtotal (1) \$ 0					
2. EXTRA CLAIM FEES					
Number Claims	Prior**	Extra	Fee from Below	Fee Paid	
Total	26	25	1	9	9
Indep.	1	3	1	42	42
Multiple Dependent Claims					
** Insert 3 and 20, or number previously paid if greater; Reissue see below					
Large Entity		Small Entity			
Fee	Fee	Fee	Fee	<u>Description</u>	
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent Claims	
109	84	209	42	Reissue Independent Claims over Original Patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
Subtotal (2) \$ 51					
Subtotal (3) \$65					
Signature <u>Robert M. Angus</u> (Robert M. Angus)		Reg. No. <u>24,383</u>			
Date <u>June 17, 2002</u>		Deposit Account No. <u>23-1123</u>			

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Signature Robert M. Angus  
(Robert M. Angus)

Reg. No. 24,383

Date Jun 17, 2002

Deposit Account No. 23-1123



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/088,007	Frederic Maillard	M109.12-0001

Robert M Angus  
 Westman Champlin & Kelly  
 Suite 1600 International Center  
 900 Second Avenue South  
 Minneapolis, MN 55402-3319



INTERNATIONAL APPLICATION NO.	
PCT/FR00/02493	
IA. FILING DATE	PRIORITY DATE
09/08/2000	09/13/1999

CONFIRMATION NO. 4925  
 371 FORMALITIES LETTER



\*OC00000008218925\*

Date Mailed: 06/04/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Small Entity Statement

DOCKETED	✓
RESEND DUE	✓
CALLED DATED	✓
CHECKED BY ATTY	✓

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- **\$65** Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR**

**THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

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Telephone: (703) 305-6421

**PART 1 - ATTORNEY/APPLICANT COPY**

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/088,007	PCT/FR00/02493	M109.12-0001

FORM PCT/DO/EO/905 (371 Formalities Notice)